PC Securities Limited
寶鉅證券有限公司

Reactivation of Individual Dormant Account Form
重新启动个人不动账户表格

Client Name 客户名称	Account Number 账户号码

I/We would like to reactivate my/our account and hereby provide the following information for update/verification
本人/吾等欲重新启动本人/吾等的不动账户，本人/吾等谨此提供以下资料以作更新/核实

Account Holder's Information 账户持有人之资料

	Country Code 国家编码	Area Code 区域编码	Phone Number 电话号码		
Mobile Phone No. 手提电话号码				Nationality 国籍	
Other Contact Phone No. 其他联络电话号码				Fax No. 传真号码	

E-mail Address 电邮地址

Residence Address* 居住地址	Correspondence Address (if different from the "Residence Address")* 通讯地址 (如与「居住地址」不同，请填此栏)
_____	_____
_____	_____
_____	_____
_____	_____

* Address proof is required & P.O. box not accepted   必须提供地址证明 及 不接受邮政信箱

Educational Background 教育程度 (Please Select One Only of the following 请只选择以下一项)

- ☐ (P) Primary School 小学
- ☐ (S) Secondary School 中学
- ☐ (O) Others, please specify 其他，请注明：_____
- ☐ (C) College 大专院校
- ☐ (U) University or above 大学或以上

** "Vulnerable Customer Declaration Form" is required if Account Holder is uneducated or ticked "Primary School"
   如账户主要申请人没有接受过基础教育或勾选「小学」，需提交「易受损客户声明书」

Employment Status 就业情况 (Please Select One Only of the following 请只选择以下一项)

- ☐ (E) Full-time employed 全职
- ☐ (E) Part-time employed 兼职
- ☐ (O) Others, please specify 其他，请注明：_____
- ☐ (S) Self-employed 自雇
- ☐ (R) Retired 已退休

** "Anti-Money Laundering Enhanced Customer Due Diligence" is required if ticked "Retired" or "Others"
   如勾选「已退休」或「其他」，需提交「附加客户身份详尽查核表」

Nature of Occupancy 住宅类别 (Please Select One Only of the following 请只选择以下一项)

- ☐ Owned (No Mortgage) 自置 (无按揭)
- ☐ Quarters 宿舍
- ☐ Rented/Monthly Rental 租用/每月租金 $_____
- ☐ Live with Parents 与父母同住
- ☐ Relative's apartment 亲属楼宇
- ☐ Mortgaged/Monthly Installment 按揭/每月供款 $_____

Name of Employer 雇主名称	Nature of Business 业务性质

	Country Code 国家编码	Area Code 区域编码	Phone Number 电话号码	Year(s) with Existing Employer 已任职年期	Position 职位
Office Tel. No. 公司电话号码					

(Address proof is NOT required 不须提供地址证明)
Office Address 公司地址

FATCA Identity Declaration   FATCA 身份声明

Please tick the appropriate box below 请勾选下面相应的方框

(1)	US citizenship/tax residency (e.g. US passport/Green Card holder, U.S. taxpayer, etc.) 美国公民/缴纳美国税务的居民 (如美国护照/绿卡持有者、美国纳税人等)	☐ Yes 是	☐ No 否
(2)	US place of birth 美国出生地	☐ Yes 是	☐ No 否
(3)	US address (e.g. residential address, mailing address, P.O. box) 美国地址 (如居住地址、邮件地址、邮政邮箱)	☐ Yes 是	☐ No 否
(4)	US telephone number (e.g. home, work, mobile, fax numbers) 美国电话号码 (如住宅、工作、行动、传真号码)	☐ Yes 是	☐ No 否
(5)	Standing instructions to pay amounts from PC Securities Limited to an account maintained in the US 从寶鉅證券有限公司向某美国银行账户发出常设的款项转账指示	☐ Yes 是	☐ No 否

Do you possess any of the above U.S. indicia?   Please Select One Only of the following
您是否拥有以上任何一项美国指标？   请只选择以下一项

- ☐ US Individual 美国人士
- OR
- ☐ Non-US Individual with US indicia 非美国人士，但具有美国指标
- ☐ Non-US Individual with no US indicia (W-8BEN Form is required) 非美国人士，及没有美国指标 (需提交「W-8BEN」表格)

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Account Holder's Financial Profile and Investment Objective 账户持有人之财务记录及投资目标

The Company is required to obtain this information to fulfill the "know-your-client requirements" of the Securities and Futures Commission of HK.
本公司根据香港证券及期货事务监察委员会所制定之「认识你的客户要求」而索取有关资料。

Estimated Annual Income 估计年薪 (HK$港元) (Please Select One Only of the following 请只选择以下一项)

☐ (I1) Under HK$200,000 以下   ☐ (I2) HK$200,000 - HK$500,000   ☐ (I3) HK$500,001 - HK$1,000,000

☐ (I4) HK$1,000,001 - HK$5,000,000   ☐ (I5) Above HK$5,000,000 以上

Approximate Net Asset Value 估计资产净值 (Please Select One Only of the following 请只选择以下一项)
(Total Assets 总资产 – Total Liabilities 总负债) (HK$港元)

☐ (L) Under HK$500,000 以下   ☐ (L) HK$500,000 - HK$3,000,000   ☐ (M) HK$3,000,001 - HK$8,000,000

☐ (M) HK$8,000,001 - HK$30,000,000   ☐ (H) Above HK$30,000,000 以上

Source of Income 收入来源 (Please Select One Only of the following 请只选择以下一项)

☐ (1) Salary 工资   ☐ (2) Savings 储蓄   ☐ (3) Investment 投资

☐ (5) Commission 佣金   ☐ (5) Business Profit 商业利润   ☐ Others 其他 : _____

Investment Objectives 投资目标 (Please Select One Only of the following 请只选择以下一项)

☐ (2) Capital Growth 资本增值   ☐ (3) Dividend Income 股息收入   ☐ (1) Hedging 对冲   ☐ (5) Speculation 投机   ☐ (4) Others 其他 : _____

Investment Horizon 投资目标周期 (Please Select One Only of the following 请只选择以下一项)

☐ Long Term over 2 years 长线超过二年   ☐ Medium Term 6-24 months 中线六至二十四个月   ☐ Short Term less than 6 months 短线少于六个月

Account Holder's Disclosure of Identity and Related Account(s) 账户持有人之披露身份及关连账户

Are you a licensed/registered securities or commodities professional, or an employee of a licensed/registered person (e.g. employee of a brokerage firm /bank, etc.) with the Securities and Futures Commission ("SFC")?
阁下是否为证券及期货事务监察委员会的持牌/注册证券或期货从业员，或其持牌/注册机构(如经纪行/银行等)之雇员？

☐ No 否   ☐ Yes 是 (please specify 请注明) : _____

** "Consent Letter" is required if ticked "Yes" 如勾选「是」，需提交「同意书」

Are you the ultimate beneficial owner(s) of the account? (The information is required by The SFC's Client Identity Rule Policy)
阁下是否此账户之最终实益拥有人？ (所需资料是根据香港证券及期货事务监察委员会所制定的客户身份规则的政策摘要)

☐ Yes 是   ☐ No 否 (please provide details of the ultimate beneficial owner(s) 请提供最终实益拥有人的详细资料) :

Name 姓名 _____   ID No. / Passport No. 身份证号码/护照号码 _____

Date of Birth 出生日期 (dd/mm/yyyy 日/月/年) _____   Nationality 国籍 _____   Contact No. 联络电话 _____

Address 地址 _____

** Please provide ID/Passport & address proof copies of the ultimate beneficial owner(s) 请提供最终实益拥有人的身份证/护照及地址证明副本

Do you maintain any other account(s) with PC Securities Limited? 阁下现时是否已持有其他寶鉅證券有限公司之账户？

☐ No 否   ☐ Yes 是   Name 姓名 _____   Account No. 账户号码 _____

Do you have any relative(s) working in PC Securities Limited? 阁下的亲属是否寶鉅證券有限公司之员工？

☐ No 否   ☐ Yes 是   Name 姓名 _____   Account No. 账户号码 _____

Do you have any relative(s) acting as director or being shareholder of PC Securities Limited? 阁下的亲属是否寶鉅證券有限公司的董事或股东？

☐ No 否   ☐ Yes, please provide details 是，请提供详细资料 _____

Joint Account – Signing Arrangement 联名账户 - 签署安排

You decide the valid documents in connection with the operation (including cash withdrawal and assets transfer) of your account must be signed by:
阁下决定所有账户操作 (包括提款及资产转移) 的有效文件必须由：

(Please Select One Only of the following 请只选择以下一项)

☐ Both of account holders 两位账户持有人共同签署。   ☐ Any one of account holders 其中一位账户持有人签署。

Declaration 声明

I/We have read and understand the terms and conditions of trading, related risk statements and schedule fee.
本人 / 吾等已阅读及明白客户交易主要文件内的条款、条件、有关的风险披露声明书及收费表。

Applicant(s) Signature 申请人签署

Signature(s) of Account Main Holder (and Account Second Holder if applicable)
账户主要持有人 (及 账户第二持有人，如适用) 签署

✗

[Please use signature filed with us 签署须与本公司之记录相符]

FOR OFFICE USE ONLY

Process Date : _____   Received Date : _____

Remark				
Signature Verified by	**F.O. Checker**	**B.O. Maker**	**B.O. Checker**	**R.O.**

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