

Hong Kong Securities

The following charges with "HKD" settlement

PARTICULARS		CHARGES
Transaction Fees		
Brokerage		0.25% of transaction amount, minimum fee of HK\$100.00
Stamp Duty		0.13% of gross value of transaction, round up to the nearest HK\$1.00 (on behalf of the HKSAR Government)
Clearing Fee		0.005% of gross value of transaction, minimum fee of HK\$5.00 (included CCASS charges)
Trading Fee		0.005% of gross value of transaction (on behalf of SEHK)
Transaction Levy		0.0027% of gross value of transaction (on behalf of SFC)
FRC Transaction Levy		0.00015% of gross value of transaction (on behalf of the FRC)
Italian Financial Transaction Tax (IFTT) (Only applies to BUY transactions) (Collected for Italian Government)		0.1% of the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day. For purchase of eligible Italian companies listed in Hong Kong, effective from 26 th September 2016)
Nominee Service and Corporate Action Fees		
Cash Dividend Collection	Handling Fee	0.5% of dividend amount, minimum fee of HK\$30.00 / US\$3.80 per transaction (included CCASS charges)
	Scrip Fee	HK\$2.50 / US\$0.30 per lot or part thereof (included CCASS charges)
Bonus, Rights, Stock and Others Dividend Collection	Handling Fee	HK\$30.00 / US\$3.80 per stock/transaction
	Scrip Fee	HK\$2.50 / US\$0.30 per lot or part thereof (included CCASS charges)
Rights Subscription, Warrant Exercise, Cash Offer, Open Offer and Other Corporate Actions	Handling Fee	HK\$100.00 / US\$12.80 per stock/transaction
	Scrip Fee	HK\$2.50 / US\$0.30 per lot or part thereof (included CCASS charges)
	Corporate Action Fee	HK\$1.50 / US\$0.20 per lot or part thereof (included CCASS charges)
	Stamp Duty	0.13% of gross value of transaction, round up to the nearest HK\$1.00 (on behalf of the HKSAR Government)
Proxy Voting	Handling Fee	HK\$100.00 per voting instruction
Retrace Dividend from CCASS	Handling Fee	HK\$500.00 per stock per request (included CCASS charges), plus 0.5% of dividend amount, minimum fee of HK\$30.00 per order
Stock Settlement Related Service Fees		
Securities Settlement Instruction	Handling Fee	HK\$300.00 per stock per delivery order
	Clearing Fee	0.1% of gross value of transaction per delivery order (included CCASS charges)
Physical Scrip Withdrawal	Handling Fee	HK\$300.00 per stock per order
	Clearing Fee	HK\$5.00 per lot or part thereof (included CCASS charges)
Physical Scrip Deposit	Handling Fee	HK\$30.00 per stock per order
	Stamp Duty	HK\$5.00 per transfer deed (on behalf of the HKSAR Government)
Share Certificate Returned or Rejected by Registrar		HK\$300.00 per stock per order

* Scrip Fee - on net increase in balance in the stock since the date on which fee was last collected.

"Shanghai-HK Connect" & "Shenzhen-HK Connect"

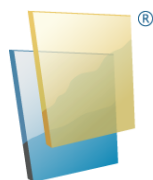
The following charges with "CNY/HKD" settlement

PARTICULARS		CHARGES
Transaction Fees		
The following charges with "CNY" settlement		
Brokerage		0.25% of transaction amount, minimum fee of CNY100.00
Stamp Duty		0.1% of the consideration of transaction on the sell order (on behalf of State Administration of Taxation)
Handling Fee		0.00487% of the consideration of transaction (on behalf of SSE & SZSE)
Securities Management Fee		0.002% of the consideration of transaction (on behalf of CSRC)
Transfer Fee		0.004% of the consideration of transaction (on behalf of CSDC & CCASS)
Nominee Service and Corporate Action Fees		
The following charges with "CNY" settlement		
Cash Dividend Collection	Dividend Tax	10% of dividend (State Administration of Taxation has been deducted at the time of distribution)
	Handling Fee	CNY50.00 per stock/transaction
	Clearing Fee	1% of dividend amount after deducted taxes
Others Corporate Action	Handling Fee	CNY50.00 per stock/transaction
Stock Settlement Related Service and Other Service Fees		
The following charges with "HKD" settlement		
Securities Settlement Instruction	Handling Fee	HK\$300.00 per stock per delivery order
	Clearing Fee	0.1% of gross value of transaction per delivery order (included CCASS charges)
Portfolio Fee		Daily portfolio value x 0.008% / 365, daily minimum fee of HK\$2.00 per account (accrued daily, collected on a monthly basis)

FUND and BOND

The following charges are settled in the corresponding product currency

PARTICULARS		CHARGES
Transaction & Service Fees (FUND and BOND)		
Brokerage (FUND)		5% of transaction amount, minimum fee of HK\$100.00
Brokerage (BOND)		0.5% ~ 5% of nominal value, minimum fee of HK\$100.00
Custodian Fee (BOND)		Daily nominal value x 0.025% / 365, monthly minimum fee of HK\$50.00 per account (accrued daily, collected on a monthly basis)
Securities Settlement Instruction (Receive Order)	Stamp Duty	HK\$5.00 per transfer deed (on behalf of the HKSAR Government)
Securities Settlement Instruction (Delivery Order)	Handling Fee	HK\$300.00 per Fund/Bond
	Clearing Fee	0.2% of gross value of transaction



United States Securities

The following charges with "USD" settlement

PARTICULARS	CHARGES	
Transaction Fees		
Brokerage	0.25% of transaction amount, minimum fee of US\$15.00	
SEC Fee	0.00051% of Sell transaction amount (from 23 rd February 2021)	
TAF Fee	US\$0.000119 per Sell transaction share, minimum fee of US\$0.01, maximum fee of US\$5.95	
French Financial Transaction Tax (FTT) (Only applies to BUY transactions) (Collected for French Government)	0.3% of the net purchase amount. Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day. For purchase of eligible French companies listed in US as American Depository Receipt (ADR), effective from 1 st January 2017.	
Italian Financial Transaction Tax (IFTT) (Only applies to BUY transactions) (Collected for Italian Government)	0.1% of the net purchase amount. Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day. For purchase of eligible Italian companies listed in US as American Depository Receipt (ADR), effective from 1 st January 2014.	
Nominee Service and Corporate Action Fees		
Cash Dividend Collection	Dividend Tax	30% of dividend (Internal Revenue Service has been deducted at the time of distribution)
	Handling Fee	US\$20.00 per stock/transaction
	Clearing Fee	1% of dividend amount after deducted taxes
Others Corporate Action	Handling Fee	US\$100.00 per stock/transaction
ADR Custodian Fee	Handling Fee	US\$20.00 per stock/transaction
	Custodian Fee	US\$0.01 ~ US\$0.05 per share per book close collected by the ADR issuers
ADR/GDR Conversion	Handling Fee	US\$100.00 per stock/transaction
	Corporate Action Fee	US\$0.10 per unit
Stock Settlement Related Service Fees		
Securities Settlement Instruction	Handling Fee	US\$200.00 per stock per delivery order
	Clearing Fee	0.1% of gross value of transaction per delivery order

Other Service Fees

The following charges with "HKD" settlement

PARTICULARS	CHARGES	
Compulsory Share Buy-Back (Only applies to "Hong Kong Stock")	Handling Fee	HK\$500.00 per stock per order
	Clearing Fee	0.6% of gross value of transaction amount (included CCASS charges)
Real Time Quote Service (Only applies to "Hong Kong Stock")	HK\$360.00 per month	
Confirmation of Account Balance	HK\$300.00 per account per certification	
Cash Account Overdue Interest	P.A. = Prevailing prime rate plus 8% (accrued daily and collected on a monthly basis)	
Securities Margin Financing Interest	P.A. = Prevailing prime rate plus 3% (accrued daily and collected on a monthly basis)	
Handling Fee for IPO Subscription	HK\$100.00 per application	
Local Remittance (CHATS)	HK\$200.00 per order and Beneficiary Bank charges	
Overseas Remittance (T.T.)	HK\$400.00 per order and Beneficiary Bank charges	
Penalty for Returned Cheque	HK\$300.00 / US\$40.00 / CNY250.00 per cheque	

In addition to these costs, it may also involve other charges. The above charges are for reference only and are subject to change without prior notice. All disbursements and out-of-pocket expenses incurred by the **PC Securities Limited** will be charged to the accounts of the respective clients.

** About physical scrip, we ONLY provide "Hong Kong Stock" physical scrip deposit and withdrawal services.

(Updated on : 1st January 2022)